

04-05-05
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22913 7590 01/03/2005

**WORKMAN NYDEGGER (F/K/A WORKMAN NYDEGGER
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60 EAST SOUTH TEMPLE
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04/06/2005 DENMANU2 00000028 09941474**

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ERIC L. MASCHOFF (Depositor's name)

Eric L. Maschoff (Signature)

April 4, 2005 (Date)

01 FC:1501 1400.00 OP
02 FC:8001 3.00 OP

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/941,474	08/28/2001	Kevin Zhang	NFCS-01-027	8102

TITLE OF INVENTION: METHOD AND APPARATUS FOR PRECISION TUNING AN OPTICAL FILTER USING A BALL-END JOINT

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$0	\$1400	04/04/2005

EXAMINER	ART UNIT	CLASS-SUBCLASS
WOOD, KEVIN S	2874	385-031000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
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- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

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3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

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(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Finisar Corporation

Sunnyvale, California

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

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☒ Payment by credit card. Form PTO-2038 is attached.
☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 23-3178 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Date **April 4, 2005**

Typed or printed name

ERIC L. MASCHOFF

Registration No. **36,596**

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **Kevin Zhang et al.**

Docket No.

15436.249.26

Application No.

09/941,474

Filing Date

August 28, 2001

Examiner

Kevin S. Wood

Customer No.

022913

Group Art Unit

2874Invention: **METHOD AND APPARATUS FOR PRECISION TUNING AN OPTICAL FILTER USING A BALL-END JOINT****APR 04 2005**

I hereby certify that the following correspondence:

Issue Fee Transmittal Letter (1 pg., in duplicate); PTOL-85B Issue Fee Transmittal (1 pg., in duplicate); PTO-2038 Credit Card Form in the amount of \$1,403.00; postcard; and Certificate of Express Mailing Label No. EV 627 626 571 US*(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

April 4, 2005*(Date)***ERIC L. MASCHOFF***(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)***EV 627 626 571 US***("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

TRANSMITTAL OF PAYMENT OF ISSUE FEE (Large Entity)
(37 C.F.R. 1.311)

Docket No.
15436.249.26

Applicant(s): Kevin Zhang et al.

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
09/941,474	August 28, 2001	Kevin S. Wood	022913	2874	8102

INVENTOR: METHOD AND APPARATUS FOR PRECISION TUNING AN OPTICAL FILTER USING A BALL-END JOINT

APR 04 2005

Mail Stop Issue Fee
COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450

Transmitted herewith are the following for the above-identified application.

- ☒ Issue Fee Transmittal Form PTOL-85
☒ Utility Fee: \$ 1400.00 ☐ Design Fee: ☐ Plant Fee:
☐ Publication Fee:
☐ A check in the amount of is attached.
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Eric L. Maschoff

Signature

Dated: April 4, 2005

ERIC L. MASCHOFF
Registration No.: 36,596

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